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UL 0.3 2006 83 Under the Paperwork Reduction Act of 1995, no per	sons are required t	U.S. Patent and Tra	domark	Office: 113	PTO/SB/21 (09-04) (AW 10/2004) hrough 7/31/2006. OMB 0651-0031 S. DEPARTMENT OF COMMERCE displays a valid OMB control number.	
TRANSMITTAL FORM (to be used for all correspondence after initial filing) Total Number of Pages in This Submission		Application Number	10/712	10/712,635		
		Filing Date	Nover	November 13, 2003 Kazuhisa YAMAMOTO, et al. 2828		
		First Named Inventor	Kazuh			
		Art Unit	2828			
		Examiner Name	Dung	Dung T. Nguyen		
		Attorney Docket No.	YAO-3750US5			
	NCLOSURI	ES (Check all that a	ipply)			
Fee Transmittal Form Fee Attached Amendment/Reply After Final Affidavits/Declaration(s) Extension of Time Request Express Abandonment Request Information Disclosure Statement Certified Copy of Priority Document(s) Response to Missing Parts/ Incomplete Application Response to Missing Parts under 37 CFR 1.52 or 1.53	Drawin Licens Petitio Provis Power Chang Addre Termi Reque	ng(s) sing-related Papers in in to Convert to a sional Application of Attorney, Revocation ge of Correspondence	ρ η ,	PTO/S (1) Rei	After Allowance Communication to TC Appeal Communication to Board of Appeals and Interferences Appeal Communication to TC (Appeal Notice, Brief, Reply Brief) Proprietary Information Status Letter Other Enclosure(s) (please identify below): 18/08b 18/08b 18/08c	
under 37 CFR 1.52 or 1.53						
SIGNATUR	E OF APPLIC	ANT, ATTORNEY O	R AG	ENT		
Firm Name RatnerPrestia Signature Reverse Printed Name Lawrence E. Ashery	and the state of t					
Date June 29, 2006		Registratio	n No.	34,5		
CERTI	FICATE OF T	ANSMISSION / MA	AILING	}		
I hereby certify that this correspondence is being facsimi postage as first class mail in an envelope addressed to:	le transmitted to the	ne USPTO or deposited wit Patents, P.O. Box 1450, Al	th the Ur lexandri	nited State a, VA 223	s Postal Service with sufficient 313-1450 on the date shown below:	
Signature Sett (
Typed or Printed Name Beth Johnson				Date	June 29, 2006	

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Office, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, ALEXANDRIA, VA 22313-1450.

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PATENT YAO-3750US5

jøln. No∶

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

10/712,635

*pplicant:

Kazuhisa Yamamoto et al.

Filed:

November 13, 2003

Title:

OPTICAL DEVICE, LASER BEAM SOURCE, LASER APPARATUS AND

METHOD OF PRODUCING OPTICAL DEVICE

TC/A.U.:

2828

Examiner:

Dung T. Nguyen

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Mail Stop Amendment Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

This is a resubmission of a previous IDS. Consideration of a previously submitted reference was improperly denied. As the previous IDS was submitted properly, and this is merely a resubmission of that IDS, NO FEE IS DUE.

Pursuant to 37 C.F.R. §§ 1.97 and 1.98 and to the duty of disclosure set forth in 37 C.F.R. § 1.56, the Examiner in charge of the above-identified application is requested to consider and make of record the reference listed on the PTO/SB/08B submitted herewith. A copy of the reference is included herewith.

Although the information submitted herewith may be "material" to the Examiner's consideration of the subject application, this submission is not intended to constitute an admission that such information is "prior art" as to the claimed invention.

In accordance with 37 C.F.R. § 1.97(g), the filing of this Information Disclosure Statement shall not be construed to mean that a search has been made.

Under 37 C.F.R. § 1.98(d), a copy of the publication listed on the enclosed PTO Form PTO/SB/08b is not required to be provided, because it was submitted to the Patent and Trademark Office in prior application Serial No. 09/922,978, filed August 6, 2001, and prior application Serial No. 08/973,380, filed March 16, 1998, now U.S. Patent No. 6,333,943, which YAO-3750US5 PATENT

are relied upon for an earlier filing date under 35 U.S.C. § 120. The publication, however, is enclosed for the convenience of the Examiner.

More than three months have elapsed since the filing of the above-referenced application and a first (non-Final) Official Action has been received. No Final Action or Notice of Allowance has yet been received and it is presumed that none has yet been mailed.

Respectfully submitted

Lawrence E. Ashery, Reg. No. 34,515
Attorney for Applicants

LEA/bj

Enclosures: PTO/SB/08b

1 Reference

Dated June 29, 2006

P.O. Box 980 Valley Forge, PA 19482 (610) 407-0700

The Commissioner for Patents is hereby authorized to charge payment to Deposit Account No. **18-0350** of any fees associated with this communication.

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail, with sufficient postage, in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on June 29, 2006.

Beth Johnson

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PTO/SB/08b (08-03) Approved for use through 6/30/2006. OMB 0651-0031

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INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

SHEET 1 of 1

	Complete if Known	
Application Number	10/712,635	·
Filing Date	November 13, 2003	<u> </u>
First Named Inventor	K. YAMAMOTO et al.	
Art Unit	2828	
Examiner Name	Dung T. Nguyen	
Attorney Docket No.	YAO-3750US5	

		NON-PATENT LITERATURE DOCUMENTS	
Examiner Initials*	Cite No.1	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published	T ²
		MIZUUCHI, K., et al., "Second-Harmonic Generation of Blue Light in a LiTa03 Waveguide", Applied Physics Letters, Vol. 58, No. 24, pp. 2732-2734 (1991).	
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		<u> </u>	
Examiner Signature	<u></u>	Date Considered	

^{*}EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to Applicant.

Applicant's unique citation designation number (optional).

²Applicant is to place a check mark here if English language translation is attached.

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